

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MASSACHUSETTS

Trudy Clark, *et al.*,

Plaintiffs

v.

BETH ISRAEL DEACONESS MEDICAL  
CENTER., *et al.*,

Defendants.

Case No: 1:22-cv-10068-DPW

Judge Douglas P. Woodlock

**DECLARATION OF CORNELIA VIEIRA CONCERNING THE MAILING OF  
THE SETTLEMENT NOTICE AND FORMER PARTICIPANT CLAIM FORM**

I, Cornelia Vieira, declare:

1. I am a Project Manager of Strategic Claims Services (“SCS”), a nationally recognized class action administration firm. I have over six years of experience specializing in the administration of class action cases. SCS was established in April 1999 and has administered over five hundred and twenty-five (525) class action cases since its inception. I am over 21 years of age and am not a party to this Litigation. I have personal knowledge of the facts set forth herein.

2. I respectfully submit this declaration in order to provide the Court and the parties to the Litigation with information regarding the mailing of the notice to inform Class Members<sup>1</sup> of the Settlement.

3. SCS was approved by the Court as Settlement Administrator in the above-captioned litigation following the Court’s Order (Dkt. 61) entered on May 5, 2023 (the “Court’s Order”). Our services include: (i) organizing the printing and mailing of notice to 23,696 Class Members;

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<sup>1</sup> All capitalized terms not otherwise defined herein have the meanings set forth in the Settlement Agreement, dated as of May 4, 2023 (the “Settlement Agreement”).

(ii) emailing notice to those Class Members for whom valid email addresses were provided; (iii) establishing and maintaining the settlement website, [www.strategicclaims.net/BethIsrael401K](http://www.strategicclaims.net/BethIsrael401K); (iv) setting up and updating a database listing the names and addresses of Class Members and updating addresses as needed; (v) re-mailing notices returned with forwarding addresses; (vi) recording all returned notices as undeliverable with no forwarding addresses and skip tracing them through Experian to obtain new addresses, and re-mailing those notices where updated addresses are obtained; (vii) tracking objections; (viii) entering and processing filed Former Participant Claim Forms; (ix) responding to phone call inquiries received on the toll-free line; and (x) handling all correspondence related to the above procedures.

4. On June 1, 2023, SCS received the class data from Defense Counsel. The class data included 23,696 entries that were identified as Class Members with a positive balance in the Plan at some point during the Class Period or Beneficiaries or Alternate Payees. The class data was run through the United States Postal Service national change of address service to obtain new address information prior to the mailing. Out of the 23,696 Class Members, 9,225 Class Members were identified as non-active in the class data and were mailed the Court-approved Notice of Pendency of Class Action and Proposed Settlement (“Notice”) along with a Former Participant Claim Form (“Claim Form”). The remaining 14,471 Class Members received a Notice only as they are currently active participants and are not required to file a Claim Form. Pursuant to the Court’s Order, the mailings for the 23,696 Class Members were completed on June 16, 2023. Please see **Exhibit A** for a copy of the Notice and Former Participant Claim Form as mailed and **Exhibit B** for a copy of the Notice as mailed.

5. Additionally, on June 16, 2023, SCS completed the emailing of copies of the Notice to 17,034 Class Members for whom email addresses had been provided. Prior to emailing, SCS

removed duplicate email addresses from the mailing list and verified which of the remaining email addresses were active.

6. Pursuant to the Court's Order, SCS established the website for the Settlement, [www.strategicclaims.net/BethIsrael401K](http://www.strategicclaims.net/BethIsrael401K), by June 16, 2023. The website is accessible 24 hours a day, 7 days a week. The website contains the current status of the case; the important dates; and downloadable copies of the following documents: (i) Former Participant Claim Form; (ii) Notice; (iii) the Court's Order; (iv) the Settlement Agreement and Exhibits; and (v) the Class Action Complaint.

7. On June 16, 2023, SCS made available a toll-free phone number (866-274-4004), which was included in the Notice, to which Class Members can direct questions about the Settlement.

8. Out of the 9,225 Notice and Former Participant Claim Forms mailed, 836 were returned as undeliverable. Of these, the United States Postal Service provided forwarding addresses for 19, and SCS immediately mailed another Notice and Former Participant Claim Form to the updated addresses. The remaining 817 Notice and Former Participant Claim Forms returned as undeliverable were "skip-traced" to obtain updated addresses, and 712 were re-mailed to updated addresses.

9. Out of the 14,471 Notices mailed for the active accounts, 401 were returned as undeliverable. Of these, the United States Postal Service provided forwarding addresses for 12, and SCS immediately mailed another Notice to the updated addresses. The remaining 389 Notices returned as undeliverable were "skip-traced" to obtain updated addresses and 270 were re-mailed to updated addresses.



10. Pursuant to the Court's Order, on August 1, 2023, the mailing of a reminder post card was completed to each Former Participant, Beneficiary, and Alternate Payee who had not returned the Former Participant Claim Form by July 19, 2023, resulting in a total of 8,392 post cards mailed. And on August 3, 2023, SCS emailed the reminder post card to those same class members where a valid email address had been provided, resulting in a total of 5,597 emails sent.

11. The notice procedures described in paragraphs three (3) through ten (10) above are consistent with the notice procedures I have used in class action cases with which I have been involved over the past six years.

12. To date, SCS has not received any objections to the fairness, reasonableness or adequacy of the Settlement, to any term of the Settlement Agreement, to the Plan of Allocation, or to proposed payment of costs for administering the Settlement, attorneys' fees and costs, or Class Representatives' Case Contribution Awards. The deadline by which objections must be postmarked is August 23, 2023.

13. The 14,471 Class Members who are active will automatically receive the benefit of the Settlement. To date, SCS has received 1,308 Former Participant Claim Forms. The deadline for submitting the Former Participant Claim Form is September 5, 2023.

I declare under penalty of perjury that the foregoing is true and correct.

Signed this 8<sup>th</sup> day of August 2023, in Media, Pennsylvania.



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Cornelia Vieira

# EXHIBIT A

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MASSACHUSETTS**

Trudy Clark, <i>et al.</i> ,	)	Case No. 1:22-cv-10068-DPW
	)	
Plaintiffs,	)	Judge Douglas P. Woodlock
	)	
v.	)	
	)	
BETH ISRAEL DEACONESS MEDICAL	)	
CENTER., <i>et al.</i> ,	)	
	)	
Defendants.	)	

**NOTICE OF PENDENCY OF CLASS ACTION AND PROPOSED SETTLEMENT**

***IF YOU WERE A PARTICIPANT IN THE BETH ISRAEL DEACONESS MEDICAL CENTER 401(K) SAVINGS AND INVESTMENT PLAN OR THE BETH ISRAEL DEACONESS MEDICAL CENTER VOLUNTARY 403(B) PLAN BETWEEN JANUARY 18, 2016 AND MAY 5, 2023, YOU COULD RECEIVE A PAYMENT AND YOUR LEGAL RIGHTS WILL BE AFFECTED BY THIS CLASS ACTION SETTLEMENT.***

***A Federal Court authorized this Notice. This is not a solicitation from a lawyer.***

This Notice advises you of the settlement (the “Settlement”) of a lawsuit against Beth Israel Deaconess Medical Center, the Board of Directors of Beth Israel Deaconess Medical Center, and the Pension Committee of Beth Israel Deaconess Medical Center (collectively, “Defendants”). In the lawsuit, Plaintiffs, Trudy Clark, Donna Nesmith, Jessica Smith, and Shelly Stack (collectively, “Plaintiffs,” and with Defendants, the “Parties”), allege that Defendants violated the Employee Retirement Income Security Act of 1974 (“ERISA”) in the administration of the Beth Israel Deaconess Medical Center 401(k) Savings and Investment Plan and the Beth Israel Deaconess Medical Center Voluntary 403(b) Plan (collectively, the “Plans”). Defendants deny the allegations and deny that they engaged in any improper conduct. YOU SHOULD READ THIS ENTIRE NOTICE CAREFULLY BECAUSE YOUR LEGAL RIGHTS WILL BE AFFECTED, WHETHER YOU ACT OR NOT.

Your rights and options, and the deadline for you to object if you are opposed to the Settlement, are explained in this Notice.

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**1. Why did I get this Notice?**

Records indicate that you are or may be a Current Participant, Former Participant, Beneficiary or Alternate Payee of a Participant or Former Participant, of the Plans at any time on or after January 18, 2016 through and including May 5, 2023 (the “Class Period”).<sup>1</sup>

You are receiving this Notice because you have a right to know about the proposed settlement of a class action lawsuit in which you are potentially a Class Member before the Court decides whether to approve the Settlement.

This Notice summarizes the lawsuit, the Settlement, your legal rights, what benefits are available, who is eligible to receive them, and how to get them.

The lawsuit is pending in the United States District Court for the District of Massachusetts (the “Court”). It is known as *Clark, et al. v. Beth Israel Deaconess Medical Center., et al.*, Case No. 1:22-cv-10068-DPW and is brought against Defendants.

**2. What is this lawsuit about?**

On January 18, 2022, Plaintiffs filed an action against Defendants, alleging that their administration of the Plans violated ERISA. Since the filing of the action, the parties engaged in litigation, including investigating the claims and other developments and briefing a motion to dismiss. In September 2022, the Parties mediated the action and were ultimately able to reach the terms of the Settlement explained in this Notice. Defendants have denied and continue to deny any wrongdoing or liability and will continue to vigorously defend the lawsuit if the proposed Settlement is not approved.

**3. What is a class action lawsuit?**

In a class action lawsuit, one or more people called “class representatives” sue on their own behalf and on behalf of other people who they allege have similar claims. One court resolves all the issues for all class members in a single lawsuit. Plaintiffs are the proposed class representatives in this lawsuit, and are sometimes referred to in this Notice as the “Class Representatives” or as “Plaintiffs.”

**4. Why is there a settlement?**

The Parties agreed to the Settlement after extensive negotiations. By agreeing to a settlement, the Parties avoid the costs and risks of further litigation, and Plaintiffs and the other Class Members will receive compensation. Class Counsel have conducted an extensive review of the evidence in the case and the potential risks and benefits of continued litigation. Plaintiffs and Class Counsel agree that the Settlement is in the best interest of the Settlement Class. The Court has not made any finding that Defendants have done anything wrong or violated any law or regulation.

**5. How do I get more information about the Settlement?**

This Notice summarizes the proposed settlement. For the precise terms and conditions of the Settlement, please see the Settlement Agreement, which is available at [strategicclaims.net/BethIsrael401K](https://strategicclaims.net/BethIsrael401K), by contacting Class Counsel (see answer to question 12 for contact information) or the Settlement Administrator (see answer to question 6 for contact information), or by accessing the Court docket in this case, for a fee, through the Court’s Public Access to Court Electronic Records (PACER) system at <https://ecf.mad.uscourts.gov/>.

**PLEASE DO NOT TELEPHONE THE COURT OR THE COURT CLERK’S OFFICE TO INQUIRE ABOUT THIS SETTLEMENT OR THE CLAIMS PROCESS.**

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<sup>1</sup> Capitalized terms not otherwise defined in this Notice shall have the same meaning as in the Settlement Agreement, which is available at [strategicclaims.net/BethIsrael401K](https://strategicclaims.net/BethIsrael401K).



## 6. Who will administer the Settlement?

The Settlement Administrator, Strategic Claims Services, will administer the Settlement, including the processing of the Former Participant Claim Form, if applicable, that you may need to fill out and send in to receive any settlement payment. You may contact the Settlement Administrator by: (a) sending a letter to Beth Israel Deaconess Medical Center 401(k) and 403(b) Settlement Administrator, c/o Strategic Claims Services, 600 N Jackson St #205, Media, PA 19063; (b) sending an e-mail to [info@strategicclaims.net](mailto:info@strategicclaims.net); (c) visiting the Settlement website at [strategicclaims.net/BethIsrael401K](http://strategicclaims.net/BethIsrael401K); or (d) calling toll-free at (866) 274-4004.

## THE SETTLEMENT BENEFITS – WHAT THE SETTLEMENT PROVIDES

## 7. What does the Settlement provide?

Defendants have agreed to pay a total of \$2,900,000.00 to the Class Members (“Gross Settlement Amount”). Class Counsel intends to ask the Court to approve up to 25% of that amount for attorneys’ fees, inclusive of litigation expenses incurred by Class Counsel, as well as Class Representatives’ Case Contribution Awards not to exceed \$7,500 for each Class Representative. The amount that will be available for distribution to Class Members (“Net Settlement Amount”) will be the Gross Settlement Amount *minus* the amounts used for other approved settlement purposes (Case Contribution Awards, Court-approved Attorneys’ Fees and Expenses to Class Counsel, Administrative Expenses, and certain taxes and tax-related costs).

## 8. How may I benefit from the Settlement?

You may be entitled to payment of a portion of the Net Settlement Amount. The amount paid to each Current Participant, Former Participant, Beneficiary or Alternate Payee will be determined by a Plan of Allocation subject to Court approval.

As explained below, if you are a Current Participant, or Beneficiary or Alternate Payee of a Participant and you have an Active Account in the Plans, you do not need to take any action in order to receive payment under the Settlement. Payments made to Current Participants, or to Beneficiaries or Alternate Payees of a Participant who have Active Accounts in the Plans shall be made into these persons’ individual investment accounts in the Plans.

If you are a Former Participant, or a Beneficiary or Alternate Payee of a Former Participant and you no longer have an active account in the Plans, you will need to submit a Former Participant Claim Form by the submission deadline in order to receive payment under the Settlement. Payments made to Former Participants, or to Beneficiaries or Alternate Payees of Former Participants who do not have Active Accounts in the Plans may be made either by check or tax-qualified rollover to an individual retirement account or other eligible employer plan.

## 9. How do I submit a claim for a Settlement Payment?

If you are a Current Participant, or a Beneficiary or Alternate Payee of a Participant and you have an Active Account in the Plans, you do not need to submit a claim to be eligible for a payment under the Settlement. You will receive any payment for which you are eligible automatically in your Plan account. If you are a Former Participant, or a Beneficiary or an Alternate Payee of a Former Participant and you do not have an Active Account in the Plans, you must submit a Former Participant Claim Form by the submission deadline in order to be eligible for a payment under the Settlement. “Former Participant” means a member of the Settlement Class who does not have an Active Account (*i.e.*, a balance greater than \$0) as of May 5, 2023, the date on which the Court authorized notice to the Class.

**If you are a Former Participant, or a Beneficiary or Alternate Payee of a Former Participant and you do not have an Active Account in the Plans, and want to receive any monetary benefits from the Settlement, you must submit the Former Participant Claim Form by no later than September 5, 2023. You must mail the Former Participant Claim Form to the address shown on the Form.**

A Former Participant Claim Form will be deemed submitted when it is actually received by the Settlement Administrator at the address listed in the Former Participant Claim Form.

**Even if you do not submit a Former Participant Claim Form, you will be bound by the Settlement. (See answer to question 14 below.)**

**10. What are the Plaintiffs receiving from the Settlement?**

Class Counsel intends to ask the Court to award the Class Representatives Case Contribution Awards of an amount not to exceed \$7,500 each in recognition of the work and effort they expended on behalf of the Class.

**THE SETTLEMENT BENEFITS – WHAT YOU GIVE UP**

**11. What do I give up by participating in the Settlement?**

Each Class Member gives Defendants a “release.” A release means you give up your rights to sue Defendants or receive any benefits from any other lawsuit against Defendants if the lawsuit asserts similar claims or relates in any way to the practices or decisions at issue in this lawsuit.

For additional details about the scope of the release, consult the Settlement Agreement or contact Class Counsel. (See answer to question 5 for details.)

**THE LAWYERS REPRESENTING YOU**

**12. Do I have a lawyer in this case?**

Yes. The Court has appointed the law firms of Miller Shah LLP and Capozzi Adler, P.C. as Class Counsel. You will not be charged for the work of these lawyers. If you want to be represented by a different lawyer in this case, you may hire one at your own expense.

**13. How will the lawyers (Class Counsel) be paid?**

Class Counsel anticipate that they will ask the Court for an award of attorneys’ fees of up to 25% of the Settlement Amount, inclusive of litigation expenses, based upon the value of the Settlement, the time they have devoted to this engagement, and the expenses they have advanced in prosecuting this matter.

**OPTING OUT OF THE SETTLEMENT**

**14. Can I exclude myself from the Settlement Class?**

No. The Settlement Class has been certified under Federal Rule of Civil Procedure 23(b)(1). Therefore, as a Class Member, you are bound by any judgments or orders that are entered in the lawsuit for all claims that were asserted in the lawsuit or are otherwise included as Released Claims as defined in the Settlement Agreement. If you wish to object to any part of the Settlement, you may (as discussed below) write to the Court and counsel about why you object to the Settlement.

**OBJECTING TO THE SETTLEMENT**

**15. What does it mean to object?**

Objecting is simply telling the Court that you do not like something about the Settlement. Objecting will not have any bearing on your right to receive the benefits of the Settlement if it is approved by the Court.

**16. What is the procedure for objecting to the Settlement, including any objection to Class Counsel’s Motion for Attorneys’ Fees and Expenses or Case Contribution Awards?**

You can ask the Court to deny approval of the Settlement and/or the Motion for Attorneys’ Fees and Expenses of Class Counsel or the Case Contribution Awards to be requested for the Class Representatives by filing an objection. You cannot ask the Court to order a different settlement; the Court can only approve or reject the Settlement. If the Court denies approval, no settlement payments will be sent out and the lawsuit will continue. If that is what you want to happen, you must object. The Court, however, can award less than the amount requested by Class Counsel

for attorneys' fees and expenses or the amount requested for the case contribution awards. If the Court does so, because of an objection or in its own discretion, although that ruling could affect the timing and amount of settlement payments, any such reduction in Class Counsel's attorneys' fees and expenses or Case Contribution Awards to be paid to the Class Representatives would not otherwise affect the finality of the Settlement.

Any objection to the proposed Settlement or Motion for Attorneys' Fees and Expenses or Case Contribution Awards must be in writing in accordance with the requirements in the Court's Order Authorizing Notice to the Class. If you file a timely written objection, you may, but are not required to, appear at the Fairness Hearing, either in person or through your own attorney. If you appear through your own attorney, you are responsible for hiring and paying that attorney.

All written objections and supporting papers must: (a) clearly identify the case name and number as *Clark, et al. v. Beth Israel Deaconess Medical Center., et al.*, Case No. 1:22-cv-10068-DPW; (b) be submitted to the Court either by mailing them to the Clerk of the Court for the United States District Court for the District of Massachusetts, John Joseph Moakley United States Courthouse, 1 Courthouse Way, Suite 2300, Boston, MA 02210, or by filing them in person at any location of the United States District Court for the District of Massachusetts; and (c) be filed or postmarked on or before August 23, 2023. Copies of any objection and supporting materials must be sent to Class Counsel and Defense Counsel at the below noted mailing address postmarked no later than August 23, 2023.

Your objection must also include: (1) your full name, current address, and current telephone number, and, if represented by counsel, any of your counsel's names and contact information; (2) a written statement of your objection(s), specifying the reason(s) for each objection, including any supporting evidence, and whether the objection applies only to you, to a specific subset of the Settlement Class, or to the entire Settlement Class; (3) copies of any papers, brief, or other documents upon which the objection is based; (4) a list of all persons who will be called to testify in support of the objection; (5) a list of any other objections to any class action settlements you or anyone acting on your behalf has submitted in any court, whether state, federal, or otherwise, in the United States in the previous five (5) years; and (6) your signature, even if you are represented by counsel.

Any party may file a response to an objection by a Class Member at least seven (7) calendar days before the Fairness Hearing.

**ANY CLASS MEMBER WHO DOES NOT OBJECT IN THE MANNER DESCRIBED ABOVE SHALL BE DEEMED TO HAVE WAIVED ANY OBJECTION AND SHALL NOT HAVE ANY RIGHT TO OBJECT TO THE FAIRNESS OR ADEQUACY OF THE SETTLEMENT.**

#### **Clerk of the Court**

U.S. District Court for the  
District of Massachusetts  
Clerk of Court  
John Joseph Moakley  
United States Courthouse  
1 Courthouse Way, Suite 2300  
Boston, MA 02210

#### **Class Counsel**

Laurie Rubinow  
Alec J. Berin  
MILLER SHAH LLP  
65 Main Street  
Chester, CT 06412  
Tel: (866) 540-5505  
lrubinow@millershah.com  
ajberin@millershah.com

#### **Defense Counsel**

Deborah S. Davidson  
MORGAN, LEWIS & BOCKIUS LLP  
110 North Wacker Drive  
Suite 2800  
Chicago, IL 60606  
Tel: (312) 324-1000  
deborah.davidson@morganlewis.com

### **THE COURT'S FAIRNESS HEARING**

#### **17. When/where will the Court decide whether to approve the Settlement?**

On September 22, 2023, at 11:00 a.m., in the John Joseph Moakley United States Courthouse, 1 Courthouse Way, Suite 2300, Courtroom 1, Third Floor, Boston, MA 02210, the Court will hold a Fairness Hearing to determine whether the proposed Settlement is fair, reasonable, and adequate and whether it should be approved. The hearing may be continued from time to time by the Court without further notice, and may be held via teleconference or

videoconference. Please check the website or contact Class Counsel if you wish to confirm that the hearing time has not been changed and to determine if it is occurring in person or by video or teleconference.

**18. Do I have to attend the Fairness Hearing?**

No; however, you are welcome to attend at your own expense. If you file an objection to the Settlement, you do not have to go to Court to talk about it. As long as your objection is filed or postmarked by August 23, 2023 and you comply with the requirements in the answer to question 16 above, the Court will consider it. You may also send your own lawyer at your expense to attend the Fairness Hearing.

**19. May I speak at the Fairness Hearing?**

You may ask the Court for permission to speak at the hearing. Anyone wishing to appear must state in their written objection their intention to appear at the Fairness Hearing, at their own expense.

Objectors or their attorneys intending to participate at the Fairness Hearing must file a notice of intention to participate (and, if applicable, the name, address, and telephone number of the objector's attorney) with the Court no later than September 7, 2023. Any objectors, or their counsel, who do not timely file a notice of intention to participate in accordance with this Paragraph shall not be permitted to speak at the Fairness Hearing, except for good cause shown.

**IF YOU DO NOTHING**

**20. What happens if I do nothing at all?**

IF YOU DO NOTHING, YOU AND ALL OTHER CLASS MEMBERS WILL BE BOUND BY THE JUDGMENT AND SETTLEMENT AGREEMENT, INCLUDING THE RELEASE OF CLAIMS. If you are a Current Participant, or a Beneficiary or Alternate Payee of a Participant and you have an Active Account in the Plans, you do not need to take any action to be eligible to receive the Settlement benefits. If you are a Former Participant, or a Beneficiary or Alternate Payee of a Former Participant and you do not have an Active Account in the Plans, you must submit a Former Participant Claim Form by the submission deadline or you will not receive any of the settlement payments described above in the answers to questions 7 and 8.

DATED: June 16, 2023

**THIS NOTICE HAS BEEN SENT TO YOU BY ORDER OF THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MASSACHUSETTS**

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**Former Participant Claim Form**

If you were a participant in a defined contribution 401(k) retirement plan known as the **Beth Israel Deaconess Medical Center 401(k) Savings and Investment Plan** (the “401(k) Plan”) or a defined contribution 403(b) retirement plan known as the **Beth Israel Deaconess Medical Center Voluntary 403(b) Plan** (the “403(b) Plan”) on or after January 18, 2016 through May 5, 2023 (the “Class Period”), but you do not have an Active Account with the Plan, or are a Beneficiary or Alternate Payee (in the case of a person subject to a Qualified Domestic Relations Order) of a Former Participant, and would like to receive a payment from the *Clark v. Beth Israel Deaconess Medical Center* Settlement, you must complete the form below and mail it to Beth Israel Deaconess Medical Center 401(k) and 403(b) Settlement Administrator, c/o Strategic Claims Services, 600 N Jackson Street, Suite 205, Media, PA 19063 to be received NO LATER THAN SEPTEMBER 5, 2023.

“Active Account” means an individual investment account in the 401(k) Plan or the 403(b) Plan with a balance greater than \$0. “Former Participant” means a person who had an Active Account with a positive balance in the 401(k) Plan or the 403(b) Plan during the Class Period but who did not have an account with the 401(k) Plan or the 403(b) Plan with a balance greater than \$0 as of May 5, 2023. “Beneficiary” or “Alternate Payee” means, for the purposes of this Former Participant Claim Form, a Beneficiary or Alternate Payee of a participant in the 401(k) Plan or the 403(b) Plan who maintained a positive account balance in the 401(k) Plan or the 403(b) Plan during the Class Period, but did not have an active account in the 401(k) Plan or the 403(b) Plan as of May 5, 2023.

**Participant Information**

Name		
Address		
Address 2		
City	State	Zip
Participant’s Social Security Number	Phone (Preferred)	Phone (Alternate)
Participant’s Date of Birth		
Email Address		

**Beneficiary or Alternate Payee Information (ONLY PROVIDE IF THIS PERSON SHOULD RECEIVE PAYMENT INSTEAD OF THE PARTICIPANT)**

Your Name		
Address		
Address 2		
City	State	Zip
Your Social Security Number	Phone (Preferred)	Phone (Alternate)
Your Date of Birth		
Email Address		

**Payment Election (choose only one)**

- ☐ I WANT A CHECK MADE PAYABLE TO ME AND MAILED TO ME. Choosing this option entails the Settlement Administrator withholding 20% or more of your total payment for tax withholdings. The Settlement Administrator will mail your check to the Name and Address listed above.

**OR**

- ☐ I WANT A CHECK MADE PAYABLE TO MY RETIREMENT ACCOUNT AS A ROLLOVER DISTRIBUTION. PLEASE MAKE THE CHECK PAYABLE TO:

Account Name	
Account Number	
Contact or Trustee (if required)	
Address Line 1	
Address Line 2	
City, State, Zip	

**NOTE: There is no promise or assurance that these funds are eligible for rollover or tax-preferred treatment. The decision to seek rollover treatment is yours alone. Any questions about taxation or rollover treatment must be directed to your tax advisor or accountant. No one associated with this case can provide you with assistance or advice of any kind in this regard or answer any tax questions.**

**Required Certification Regarding Qualified Domestic Relations Order (“QDRO”):** I hereby certify and represent under penalty of perjury that no portion of the payment to be received hereunder is subject to a QDRO, or, that a true, accurate, and current copy of any applicable QDRO is attached hereto along with the name and address of any payee other than the Class Member. Payment will be made in accordance with any QDRO supplied.

**Signature (Required):** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Deceased Class Members**

Deceased Class Members are not eligible for rollover treatment. A Beneficiary of a deceased person who was a participant in the 401(k) Plan or the 403(b) Plan at any time during the Class Period, including executors, heirs, assigns, estates, personal representatives, or successors-in-interest, must provide the following information with this Former Participant Claim Form to Beth Israel Deaconess Medical Center 401(k) and 403(b) Settlement Administrator, c/o Strategic Claims Services, 600 N Jackson Street, Suite 205, Media PA 19063:

- Evidence that such person is authorized to receive distribution of the deceased Class Member’s settlement payment, and the name and, if applicable, the percentage entitlement of each person entitled to receive distribution;
- Social Security Number of each person entitled to receive payment;
- Current mailing address of each person entitled to receive payment; and
- Person(s) to whom check(s) should be made payable, and amount(s) of check(s).

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Beth Israel 401k and 403b Settlement Administrator  
c/o Strategic Claims Services  
600 North Jackson Street, Suite 205  
Media, PA 19063

**IMPORTANT LEGAL NOTICE – PLEASE FORWARD**

Mail ID  
Name  
Address  
City, State, Zip

# **EXHIBIT B**



IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MASSACHUSETTS

Trudy Clark, <i>et al.</i> ,	)	Case No. 1:22-cv-10068-DPW
	)	
Plaintiffs,	)	Judge Douglas P. Woodlock
	)	
v.	)	
	)	
BETH ISRAEL DEACONESS MEDICAL	)	
CENTER., <i>et al.</i> ,	)	
	)	
Defendants.	)	

**NOTICE OF PENDENCY OF CLASS ACTION AND PROPOSED SETTLEMENT**

***IF YOU WERE A PARTICIPANT IN THE BETH ISRAEL DEACONESS MEDICAL CENTER 401(K) SAVINGS AND INVESTMENT PLAN OR THE BETH ISRAEL DEACONESS MEDICAL CENTER VOLUNTARY 403(B) PLAN BETWEEN JANUARY 18, 2016 AND MAY 5, 2023, YOU COULD RECEIVE A PAYMENT AND YOUR LEGAL RIGHTS WILL BE AFFECTED BY THIS CLASS ACTION SETTLEMENT.***

***A Federal Court authorized this Notice. This is not a solicitation from a lawyer.***

This Notice advises you of the settlement (the “Settlement”) of a lawsuit against Beth Israel Deaconess Medical Center, the Board of Directors of Beth Israel Deaconess Medical Center, and the Pension Committee of Beth Israel Deaconess Medical Center (collectively, “Defendants”). In the lawsuit, Plaintiffs, Trudy Clark, Donna Nesmith, Jessica Smith, and Shelly Stack (collectively, “Plaintiffs,” and with Defendants, the “Parties”), allege that Defendants violated the Employee Retirement Income Security Act of 1974 (“ERISA”) in the administration of the Beth Israel Deaconess Medical Center 401(k) Savings and Investment Plan and the Beth Israel Deaconess Medical Center Voluntary 403(b) Plan (collectively, the “Plans”). Defendants deny the allegations and deny that they engaged in any improper conduct. YOU SHOULD READ THIS ENTIRE NOTICE CAREFULLY BECAUSE YOUR LEGAL RIGHTS WILL BE AFFECTED, WHETHER YOU ACT OR NOT.

Your rights and options, and the deadline for you to object if you are opposed to the Settlement, are explained in this Notice.

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**1. Why did I get this Notice?**

Records indicate that you are or may be a Current Participant, Former Participant, Beneficiary or Alternate Payee of a Participant or Former Participant, of the Plans at any time on or after January 18, 2016 through and including May 5, 2023 (the “Class Period”).<sup>1</sup>

You are receiving this Notice because you have a right to know about the proposed settlement of a class action lawsuit in which you are potentially a Class Member before the Court decides whether to approve the Settlement.

This Notice summarizes the lawsuit, the Settlement, your legal rights, what benefits are available, who is eligible to receive them, and how to get them.

The lawsuit is pending in the United States District Court for the District of Massachusetts (the “Court”). It is known as *Clark, et al. v. Beth Israel Deaconess Medical Center., et al.*, Case No. 1:22-cv-10068-DPW and is brought against Defendants.

**2. What is this lawsuit about?**

On January 18, 2022, Plaintiffs filed an action against Defendants, alleging that their administration of the Plans violated ERISA. Since the filing of the action, the parties engaged in litigation, including investigating the claims and other developments and briefing a motion to dismiss. In September 2022, the Parties mediated the action and were ultimately able to reach the terms of the Settlement explained in this Notice. Defendants have denied and continue to deny any wrongdoing or liability and will continue to vigorously defend the lawsuit if the proposed Settlement is not approved.

**3. What is a class action lawsuit?**

In a class action lawsuit, one or more people called “class representatives” sue on their own behalf and on behalf of other people who they allege have similar claims. One court resolves all the issues for all class members in a single lawsuit. Plaintiffs are the proposed class representatives in this lawsuit, and are sometimes referred to in this Notice as the “Class Representatives” or as “Plaintiffs.”

**4. Why is there a settlement?**

The Parties agreed to the Settlement after extensive negotiations. By agreeing to a settlement, the Parties avoid the costs and risks of further litigation, and Plaintiffs and the other Class Members will receive compensation. Class Counsel have conducted an extensive review of the evidence in the case and the potential risks and benefits of continued litigation. Plaintiffs and Class Counsel agree that the Settlement is in the best interest of the Settlement Class. The Court has not made any finding that Defendants have done anything wrong or violated any law or regulation.

**5. How do I get more information about the Settlement?**

This Notice summarizes the proposed settlement. For the precise terms and conditions of the Settlement, please see the Settlement Agreement, which is available at [strategicclaims.net/BethIsrael401K](https://strategicclaims.net/BethIsrael401K), by contacting Class Counsel (see answer to question 12 for contact information) or the Settlement Administrator (see answer to question 6 for contact information), or by accessing the Court docket in this case, for a fee, through the Court’s Public Access to Court Electronic Records (PACER) system at <https://ecf.mad.uscourts.gov/>.

**PLEASE DO NOT TELEPHONE THE COURT OR THE COURT CLERK’S OFFICE TO INQUIRE ABOUT THIS SETTLEMENT OR THE CLAIMS PROCESS.**

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<sup>1</sup> Capitalized terms not otherwise defined in this Notice shall have the same meaning as in the Settlement Agreement, which is available at [strategicclaims.net/BethIsrael401K](https://strategicclaims.net/BethIsrael401K).

## 6. Who will administer the Settlement?

The Settlement Administrator, Strategic Claims Services, will administer the Settlement, including the processing of the Former Participant Claim Form, if applicable, that you may need to fill out and send in to receive any settlement payment. You may contact the Settlement Administrator by: (a) sending a letter to Beth Israel Deaconess Medical Center 401(k) and 403(b) Settlement Administrator, c/o Strategic Claims Services, 600 N Jackson St, Suite 205, Media, PA 19063; (b) sending an e-mail to [info@strategicclaims.net](mailto:info@strategicclaims.net); (c) visiting the Settlement website at [strategicclaims.net/BethIsrael401K](http://strategicclaims.net/BethIsrael401K); or (d) calling toll-free at (866) 274-4004.

## THE SETTLEMENT BENEFITS – WHAT THE SETTLEMENT PROVIDES

## 7. What does the Settlement provide?

Defendants have agreed to pay a total of \$2,900,000.00 to the Class Members (“Gross Settlement Amount”). Class Counsel intends to ask the Court to approve up to 25% of that amount for attorneys’ fees, inclusive of litigation expenses incurred by Class Counsel, as well as Class Representatives’ Case Contribution Awards not to exceed \$7,500 for each Class Representative. The amount that will be available for distribution to Class Members (“Net Settlement Amount”) will be the Gross Settlement Amount *minus* the amounts used for other approved settlement purposes (Case Contribution Awards, Court-approved Attorneys’ Fees and Expenses to Class Counsel, Administrative Expenses, and certain taxes and tax-related costs).

## 8. How may I benefit from the Settlement?

You may be entitled to payment of a portion of the Net Settlement Amount. The amount paid to each Current Participant, Former Participant, Beneficiary or Alternate Payee will be determined by a Plan of Allocation subject to Court approval.

As explained below, if you are a Current Participant, or Beneficiary or Alternate Payee of a Participant and you have an Active Account in the Plans, you do not need to take any action in order to receive payment under the Settlement. Payments made to Current Participants, or to Beneficiaries or Alternate Payees of a Participant who have Active Accounts in the Plans shall be made into these persons’ individual investment accounts in the Plans.

If you are a Former Participant, or a Beneficiary or Alternate Payee of a Former Participant and you no longer have an active account in the Plans, you will need to submit a Former Participant Claim Form by the submission deadline in order to receive payment under the Settlement. Payments made to Former Participants, or to Beneficiaries or Alternate Payees of Former Participants who do not have Active Accounts in the Plans may be made either by check or tax-qualified rollover to an individual retirement account or other eligible employer plan.

## 9. How do I submit a claim for a Settlement Payment?

If you are a Current Participant, or a Beneficiary or Alternate Payee of a Participant and you have an Active Account in the Plans, you do not need to submit a claim to be eligible for a payment under the Settlement. You will receive any payment for which you are eligible automatically in your Plan account. If you are a Former Participant, or a Beneficiary or an Alternate Payee of a Former Participant and you do not have an Active Account in the Plans, you must submit a Former Participant Claim Form by the submission deadline in order to be eligible for a payment under the Settlement. “Former Participant” means a member of the Settlement Class who does not have an Active Account (*i.e.*, a balance greater than \$0) as of May 5, 2023, the date on which the Court authorized notice to the Class.

**If you are a Former Participant, or a Beneficiary or Alternate Payee of a Former Participant and you do not have an Active Account in the Plans, and want to receive any monetary benefits from the Settlement, you must submit the Former Participant Claim Form by no later than September 5, 2023. You must mail the Former Participant Claim Form to the address shown on the Form.**

A Former Participant Claim Form will be deemed submitted when it is actually received by the Settlement Administrator at the address listed in the Former Participant Claim Form.

**Even if you do not submit a Former Participant Claim Form, you will be bound by the Settlement. (See answer to question 14 below.)**

**10. What are the Plaintiffs receiving from the Settlement?**

Class Counsel intends to ask the Court to award the Class Representatives Case Contribution Awards of an amount not to exceed \$7,500 each in recognition of the work and effort they expended on behalf of the Class.

**THE SETTLEMENT BENEFITS – WHAT YOU GIVE UP**

**11. What do I give up by participating in the Settlement?**

Each Class Member gives Defendants a “release.” A release means you give up your rights to sue Defendants or receive any benefits from any other lawsuit against Defendants if the lawsuit asserts similar claims or relates in any way to the practices or decisions at issue in this lawsuit.

For additional details about the scope of the release, consult the Settlement Agreement or contact Class Counsel. (See answer to question 5 for details.)

**THE LAWYERS REPRESENTING YOU**

**12. Do I have a lawyer in this case?**

Yes. The Court has appointed the law firms of Miller Shah LLP and Capozzi Adler, P.C. as Class Counsel. You will not be charged for the work of these lawyers. If you want to be represented by a different lawyer in this case, you may hire one at your own expense.

**13. How will the lawyers (Class Counsel) be paid?**

Class Counsel anticipate that they will ask the Court for an award of attorneys’ fees of up to 25% of the Settlement Amount, inclusive of litigation expenses, based upon the value of the Settlement, the time they have devoted to this engagement, and the expenses they have advanced in prosecuting this matter.

**OPTING OUT OF THE SETTLEMENT**

**14. Can I exclude myself from the Settlement Class?**

No. The Settlement Class has been certified under Federal Rule of Civil Procedure 23(b)(1). Therefore, as a Class Member, you are bound by any judgments or orders that are entered in the lawsuit for all claims that were asserted in the lawsuit or are otherwise included as Released Claims as defined in the Settlement Agreement. If you wish to object to any part of the Settlement, you may (as discussed below) write to the Court and counsel about why you object to the Settlement.

**OBJECTING TO THE SETTLEMENT**

**15. What does it mean to object?**

Objecting is simply telling the Court that you do not like something about the Settlement. Objecting will not have any bearing on your right to receive the benefits of the Settlement if it is approved by the Court.

**16. What is the procedure for objecting to the Settlement, including any objection to Class Counsel’s Motion for Attorneys’ Fees and Expenses or Case Contribution Awards?**

You can ask the Court to deny approval of the Settlement and/or the Motion for Attorneys’ Fees and Expenses of Class Counsel or the Case Contribution Awards to be requested for the Class Representatives by filing an objection. You cannot ask the Court to order a different settlement; the Court can only approve or reject the Settlement. If the Court denies approval, no settlement payments will be sent out and the lawsuit will continue. If that is what you want to happen, you must object. The Court, however, can award less than the amount requested by Class Counsel



for attorneys' fees and expenses or the amount requested for the case contribution awards. If the Court does so, because of an objection or in its own discretion, although that ruling could affect the timing and amount of settlement payments, any such reduction in Class Counsel's attorneys' fees and expenses or Case Contribution Awards to be paid to the Class Representatives would not otherwise affect the finality of the Settlement.

Any objection to the proposed Settlement or Motion for Attorneys' Fees and Expenses or Case Contribution Awards must be in writing in accordance with the requirements in the Court's Order Authorizing Notice to the Class. If you file a timely written objection, you may, but are not required to, appear at the Fairness Hearing, either in person or through your own attorney. If you appear through your own attorney, you are responsible for hiring and paying that attorney.

All written objections and supporting papers must: (a) clearly identify the case name and number as *Clark, et al. v. Beth Israel Deaconess Medical Center., et al.*, Case No. 1:22-cv-10068-DPW; (b) be submitted to the Court either by mailing them to the Clerk of the Court for the United States District Court for the District of Massachusetts, John Joseph Moakley United States Courthouse, 1 Courthouse Way, Suite 2300, Boston, MA 02210, or by filing them in person at any location of the United States District Court for the District of Massachusetts; and (c) be filed or postmarked on or before August 23, 2023. Copies of any objection and supporting materials must be sent to Class Counsel and Defense Counsel at the below noted mailing address postmarked no later than August 23, 2023.

Your objection must also include: (1) your full name, current address, and current telephone number, and, if represented by counsel, any of your counsel's names and contact information; (2) a written statement of your objection(s), specifying the reason(s) for each objection, including any supporting evidence, and whether the objection applies only to you, to a specific subset of the Settlement Class, or to the entire Settlement Class; (3) copies of any papers, brief, or other documents upon which the objection is based; (4) a list of all persons who will be called to testify in support of the objection; (5) a list of any other objections to any class action settlements you or anyone acting on your behalf has submitted in any court, whether state, federal, or otherwise, in the United States in the previous five (5) years; and (6) your signature, even if you are represented by counsel.

Any party may file a response to an objection by a Class Member at least seven (7) calendar days before the Fairness Hearing.

**ANY CLASS MEMBER WHO DOES NOT OBJECT IN THE MANNER DESCRIBED ABOVE SHALL BE DEEMED TO HAVE WAIVED ANY OBJECTION AND SHALL NOT HAVE ANY RIGHT TO OBJECT TO THE FAIRNESS OR ADEQUACY OF THE SETTLEMENT.**

#### **Clerk of the Court**

U.S. District Court for the  
District of Massachusetts  
Clerk of Court  
John Joseph Moakley  
United States Courthouse  
1 Courthouse Way, Suite 2300  
Boston, MA 02210

#### **Class Counsel**

Laurie Rubinow  
Alec J. Berin  
MILLER SHAH LLP  
65 Main Street  
Chester, CT 06412  
Tel: (866) 540-5505  
lrubinow@millersshah.com  
ajberin@millersshah.com

#### **Defense Counsel**

Deborah S. Davidson  
MORGAN, LEWIS & BOCKIUS LLP  
110 North Wacker Drive  
Suite 2800  
Chicago, IL 60606  
Tel: (312) 324-1000  
deborah.davidson@morganlewis.com

### **THE COURT'S FAIRNESS HEARING**

#### **17. When/where will the Court decide whether to approve the Settlement?**

On September 22, 2023, at 11:00 a.m., in the John Joseph Moakley United States Courthouse, 1 Courthouse Way, Suite 2300, Courtroom 1, Third Floor, Boston, MA 02210, the Court will hold a Fairness Hearing to determine whether the proposed Settlement is fair, reasonable, and adequate and whether it should be approved. The hearing may be continued from time to time by the Court without further notice, and may be held via teleconference or

videoconference. Please check the website or contact Class Counsel if you wish to confirm that the hearing time has not been changed and to determine if it is occurring in person or by video or teleconference.

**18. Do I have to attend the Fairness Hearing?**

No; however, you are welcome to attend at your own expense. If you file an objection to the Settlement, you do not have to go to Court to talk about it. As long as your objection is filed or postmarked by August 23, 2023 and you comply with the requirements in the answer to question 16 above, the Court will consider it. You may also send your own lawyer at your expense to attend the Fairness Hearing.

**19. May I speak at the Fairness Hearing?**

You may ask the Court for permission to speak at the hearing. Anyone wishing to appear must state in their written objection their intention to appear at the Fairness Hearing, at their own expense.

Objectors or their attorneys intending to participate at the Fairness Hearing must file a notice of intention to participate (and, if applicable, the name, address, and telephone number of the objector's attorney) with the Court no later than September 7, 2023. Any objectors, or their counsel, who do not timely file a notice of intention to participate in accordance with this Paragraph shall not be permitted to speak at the Fairness Hearing, except for good cause shown.

**IF YOU DO NOTHING**

**20. What happens if I do nothing at all?**

IF YOU DO NOTHING, YOU AND ALL OTHER CLASS MEMBERS WILL BE BOUND BY THE JUDGMENT AND SETTLEMENT AGREEMENT, INCLUDING THE RELEASE OF CLAIMS. If you are a Current Participant, or a Beneficiary or Alternate Payee of a Participant and you have an Active Account in the Plans, you do not need to take any action to be eligible to receive the Settlement benefits. If you are a Former Participant, or a Beneficiary or Alternate Payee of a Former Participant and you do not have an Active Account in the Plans, you must submit a Former Participant Claim Form by the submission deadline or you will not receive any of the settlement payments described above in the answers to questions 7 and 8.

DATED: June 16, 2023

**THIS NOTICE HAS BEEN SENT TO YOU BY ORDER OF THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MASSACHUSETTS**

Beth Israel 401k and 403b Settlement Administrator  
c/o Strategic Claims Services  
600 North Jackson Street, Suite 205  
Media, PA 19063

**IMPORTANT LEGAL NOTICE – PLEASE FORWARD**

Mail ID  
Name  
Address  
City, State, Zip